NEW YORK INSTITUTE OF FINANCE
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Investor Profiling - Online

Geared towards new portfolio managers, this module focuses on the crucial first step of profiling a client in order to determine the appropriate investment approach for them.

This course replicates the content from Lesson 1 of Wealth Management - Online

This is an asynchronous eLearning course that can be accessed 24/7 from any internet enabled computer. Subscription period for this course is 90 days.

Targeted Audience

New private bankers, new wealth advisors, brokers who are going to cover broader wealth solutions, and new portfolio managers

Advance Preparation

No advance preparation required.

Learning Objectives

Students will be able to:

. Determine your client's time horizon

. Identify your client's level of risk or risk tolerance

. Understand the impact of your client's tax rates on investment decisions

. Construct an appropriate investment approach utilizing your client's time horizon, risk tolerance and tax

Alumni Comments

"The course is so profound. I have learned so much and clearly understand about investment approach."

Follow-Up Courses

Portfolio Management and Duration - Online

Introduction to Portfolio Risk - Online

Asset Allocation and Taxation - Online

Estate Planning - Online

Introduction to Trusts - Online

Level: Basic

CPE Credits: 1

Instructional Method: Self-Study

Detailed Outline

Investor Profiling

Topics covered include:
. Overview
. Time Horizon
. Risk Tolerance
. Constructing an appropriate investment approach
Duration: 1 hour
Powered by NYIF

For more information regarding administrative policies such as complaints and refunds, please contact our offices at 212-641-6616.