



## Margin Calls - Online

In this module you'll learn when maintenance calls are made on long and short positions, what buying power is, how customers can meet maintenance and Regulation T calls, and the functions of the margin department.

This course replicates the content from lesson 3 of Margin I: Intro to Margin Regulations - Online

This is an asynchronous eLearning course that can be accessed 24/7 from any internet enabled computer. Subscription period for this course is 90 days.

### Advance Preparation

No advance preparation required.

### Learning Objectives

Students will be able to:

- . Calculate when a customer will incur a maintenance margin call on long positions.
- . Calculate when a customer will incur a maintenance margin call on short positions.
- . Define buying power and identify how it is used
- . Recall the steps a customer can take to meet maintenance margin calls.
- . Identify the steps a customer must take to meet Regulation T margin calls.
- . Recognize the functions of the Margin Department.

### Follow-Up Courses

Back Office: The Margin Department - Online

Introduction to Margin Requirements - Online

Margin Transactions - Online

Margin Requirements for Day-Trading and Bonds - Online

Margin II: Advanced Margin for Options - Online

**Level: Basic**

**CPE Credits: 2**

**Instructional Method: Self-Study**

## **Detailed Outline**

### **Margin Calls**

- . Maintenance calls on long positions
- . Maintenance calls on short positions
- . Buying power
- . Meeting Regulation T and maintenance calls
- . Functions of the margin department

Duration: 2 hours

For more information regarding administrative policies such as complaints and refunds, please contact our offices at 212-641-6616.