

NEW YORK INSTITUTE OF FINANCE

Established 1922

Fall 2006 Catalog

Midtown and Downtown Courses



Accounting & Tax • CFA® & Exam Prep • Core Finance Concepts • Corporate Finance • Credit Risk
Financial Modeling • Fixed Income • Investment & Portfolio Management • Mergers & Acquisitions
Operations & Compliance • Professional Communications Skills • Project Finance • Risk
Management & Derivatives • Special Programs • Structured Products • Technical Analysis • Trading

www.nyif.com

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NEW YORK INSTITUTE OF FINANCE

Established 1922

Where Wall Street Goes to School...*Again*



Midtown Campus

New York Institute of Finance HQ
1330 Avenue of the Americas
New York, NY 10019

NEW Downtown Campus

Securities Training Corporation
17 Battery Place North, 6th floor
(2-26 Washington Street Entrance)
New York, NY 10004

For a list of downtown courses visit www.nyif.com/downtown

There's a lot happening at the New York Institute of Finance this Fall!

September marks our return to the Wall Street area as we grow with a new campus at Battery Place, adding to our existing midtown location. We're excited about this expansion, and to once again be the place *Where Wall Street Goes to School*.

Between our two locations, we have over 140 classes including six new classes making their debut:

- Advanced Corporate Finance
- Fundamentals of Investment Management
- International Corporate Finance
- Introduction to Financial Engineering
- Investing in Emerging Markets
- Practical Applications of Sarbanes-Oxley

Join us for a class this fall as we celebrate our new beginning, and choose from either our Midtown or Downtown location.

If you would like to find out more, don't miss our **Launch Party and Open House** on Wednesday, September 13th, at our new downtown location at Battery Place. Come and find out about our programs and meet our instructors. We hope to see you there.

Best regards,



Pat Sparacio-Don Diego
Managing Director

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Knowledge to Succeed in a World of Change

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Save the Date

Downtown Campus Launch Party & Open House

Date:
Wednesday,
September 13, 2006

Time:
Our doors will be open
from 3pm-8pm

Location:
New York Institute of
Finance Downtown Campus

RSVP online at
www.nyif.com/downtown
or send an email to
invite@nyif.com

Professional Certificate Programs

Obtain the prestigious New York Institute of Finance Professional Certificate to help you meet your professional and personal goals.

A Professional Certificate can help you in many ways, including:

- Qualify for a new, higher paying job
- Remain at the leading edge of your field
- Research a new career
- Attain knowledge in a new field
- Build your business contact network

The Professional Certificate Programs are comprehensive in scope and offer you a wide variety of courses to choose from. Plus, you get to decide when you take them. Courses are offered during the day, evening and via eLearning. In addition, you will receive CPE credits to ensure you are keeping up to date with your professional requirements.

To qualify for a New York Institute of Finance Professional Certificate, you must complete four core courses and two electives over a period of three years. You must attend at least 80% of any instructor-led course and receive a passing grade on any eLearning course.



The following Professional Certificate Programs are offered by New York Institute of Finance:

- Certificate in Credit
- Certificate in Finance
- Certificate in Derivatives and Risk Management
- Certificate in Portfolio Management

For more details on the New York Institute of Finance Professional Certificate Programs, please contact Customer Care at 212-641-6616 or visit our website at www.nyif.com/certificate.



New York Institute of Finance is registered with the National Association of State Boards of Accountancy (NASBA), as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN 37219-2417 or by visiting the web site: www.nasba.org



ACCOUNTING AND TAX			
Brokerage Accounting	Learn how to assess the effects of a firm's own transactions on its capital, financial statements and reports with an emphasis on regulatory requirements.	Sep-18 \$2150	D 2 Days Intermediate
Advanced Financial Accounting Topics	Using a case-based approach, explore advanced financial accounting and financial statement auditing issues & topics.	Oct-23 \$1375	M 6 Evenings Advanced
Tax Concepts for Finance	Gain a working knowledge of tax law and an appreciation of the impact it has on finance decisions and how they can alter the structuring of transactions.	Oct-30 \$2150	D 2 Days Introductory
Accounting for Derivatives	Learn to recognize which characteristics of derivatives affect their accounting implications.	Nov-6 \$2150	D 2 Days Intermediate
Comparing US GAAP and International Financial Reporting Standards	Discuss the differences between US GAAP and IFRS using actual reconciliations between the two standards prepared by SEC registrants.	Nov-6 \$2950	M 3 Days Introductory/ Intermediate
Accounting for Mergers & Acquisitions	Focus on the application of financial accounting and federal income tax principles for projecting earnings, accretion/dilution and cash flows of the post-combination entity.	Nov-15 \$1350	M 1 Day Intermediate
Introduction to Financial Accounting	Gain an in-depth introduction to accounting basics.	Nov-29 \$2150	D 2 Days Introductory
CFA AND EXAM PREP			
CFA® Core Concepts: Accounting	Examine accounting principles and practices, financial statement disclosure and ratio analysis, cash and liquidity analysis, and specific asset/liability accounting issues.	Sep-30 \$750	M 6 Sessions Intermediate
CFA® Exam Level I Weekend Intensive	Join this review class and don't miss a single CFA Institute Learning Outcome Statement. Cover the most important areas of the exam – not just test hints and tips.	Nov-9 \$1195	M 8 Sessions Intermediate
CFA® Exam Level I Problem Solving	Work closely with an instructor through several original complex questions drawn from the most difficult areas of the exam.	Nov-11 \$395	M 3 Sessions Advanced
CFA® Exam Level I Weeklong Intensive	Join this review class and don't miss a single CFA Institute Learning Outcome Statement. Cover the most important areas of the exam – not just test hints and tips.	Nov-13 \$1195	M 5 Days Intermediate
CORE FINANCE CONCEPTS			
Statistical Concepts for the Marketplace	Geared towards the finance community, this statistics class draws examples from equity technical & fundamental analysis and arbitrage.	Sep-12 \$1275	D 4 Evenings Introductory
Fundamentals of the Securities Industry	Examine the terminology, principle players, recent changes and current trends in the securities industry.	Sep-18 \$2150	D 2 Days Introductory
Finance for Non-Financial Managers	Achieve a basic understanding of financial management and learn how to read and understand key elements of financial statements and reports.	Sep-27 \$2150	D 2 Days Introductory
Introduction to Global Markets	Hear a variety of speakers deliver cutting edge overviews on developments in the global economy and how these affect the world of global finance.	Sep-28 \$1675	D 6 Evenings Introductory
Introducing Wall Street	Join this essential eight-evening program and hear eight industry speakers explore the products and markets of Wall Street.	Oct-3 \$1875	D 8 Evenings Introductory

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Economic Indicators: Clues to the Future	Based on his best selling book, Bernard Baumohl explores the hidden clues to future economic trends and how to use the information for investment opportunities.	Oct-4 \$2150	D	2 Days Introductory/ Intermediate
Introduction to Indexes	Learn about the construction and design of indexes; investment products and derivative products and the future of indexing for capital markets.	Oct-10 \$1275	D	4 Evenings Introductory/ Intermediate
International Corporate Finance	Explore the global financial environment and learn job orientated skills in this practical hands-on course.	Oct-23 \$4350	M	5 Days Intermediate
Fundamentals of the Securities Industry	Examine the terminology, principle players, recent changes and current trends in the securities industry.	Oct-30 \$2150	M	2 Days Introductory
Tax Concepts for Finance	Gain a working knowledge of tax law and an appreciation of the impact it has on finance decisions and how they can alter the structuring of transactions.	Oct-30 \$2150	M	2 Days Introductory
Financial Statement Analysis	Learn how to assess business performance through the analysis of financial statements.	Nov-1 \$2150	M	2 Days Introductory
Essentials of Banking	Focus on the fundamental principles underlying the money and banking system in the United States.	Nov-9 \$2150	D	2 Days Introductory
Essentials of US Capital Markets	An introduction to the capital markets with an emphasis on fixed income, equities and the process of portfolio management.	Nov-13 \$2950	D	3 Days Introductory
Practical Applications of Sarbanes-Oxley	Learn how to use templates to gather and present compliant financial information and review the human capital component.	Nov-16 \$1350	M	1 Day Intermediate
International Capital Markets	Gain a detailed grounding in the full-range of capital market instruments and understand the key drivers, players and features in the market today.	Nov-27 \$3750	M	4 Days Introductory
Fundamentals of the Securities Industry	Examine the terminology, principle players, recent changes and current trends in the securities industry.	Dec-18 \$2150	D	2 Days Introductory
CORPORATE FINANCE				
Essentials of Corporate Finance	Understand the key concepts underlying the analysis and execution of financial decisions and learn how financing decisions impact a firm's value.	Oct-16 \$2950	M	3 Days Introductory/ Intermediate
Essentials of Business Valuation	Examine the theoretical groundwork for how businesses are valued and use case studies to apply these concepts to actual business valuations in the marketplace.	Oct-19 \$2150	M	2 Days Introductory
Financial Modeling for Corporate Finance	Gain a range of modeling skills; from basic functions to complex operations including lookups, indexing and valuation approaches.	Nov-7 \$1375	M	6 Evenings Intermediate
Introduction to Financial Engineering	Develop a framework for designing and implementing tailor-made instruments and solutions.	Nov-27 \$2950	M	3 Days Introductory/ Intermediate

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“The Instructor’s experience and knowledge related this class to the real world”

Student Feedback:
Introduction to Credit Risk Analysis



CREDIT RISK

Corporate Failure: Early Warning Signs	Learn the skills to proactively identify companies that may be experiencing financial problems.	Oct-16 \$2150	M	2 Days Intermediate
Credit Risk Analysis	Learn the principle concepts of credit risk analysis techniques using a structured approach and explore the management of credit risks under competitive and realistic conditions.	Oct-23 \$4350	M	5 Days Introductory/ Intermediate
Introduction to Credit Risk Analysis	Gain a solid grounding in credit risk fundamentals with hands-on case studies.	Oct-23 \$1350	D	1 Day Introductory
Measuring & Managing Operational Risk: Basel II Compliance	Gain the tools to develop effective internal control mechanisms and reduce and avoid enterprise wide operational risk management.	Oct-23 \$2950	M	3 Days Intermediate
Demystifying Cash Flow	Use case studies to focus on the three components of the cash-flow statement: Operating, Investing and Financing.	Oct-30 \$1350	D	1 Day Introductory/ Intermediate
Basel II Credit Risk	Basel II is a requirement for many banks. Gain a thorough grounding in the credit, market and operational risk requirements.	Nov-1 \$2950	M	3 Days Introductory/ Intermediate
Credit Derivatives	Examine the mechanics of the 'standard' credit derivative transaction, the most common variations of structured credit products and the mechanics of pricing and hedging.	Nov-6 \$2150	M	2 Days Intermediate
Credit Risk Analysis for Private Bankers	Achieve a practical grounding in the concepts of credit risk analysis and learn how to use credit risk analysis in the context of your everyday role.	Nov-13 \$2150	M	2 Days Introductory/ Intermediate
Advanced Credit Risk Analysis	Focus on how to improve balance sheet management using real life case studies.	Dec-4 \$2950	M	3 Days Advanced
Credit Portfolio Risk Management	Explore credit portfolio management techniques and examine several of the models and approaches that have developed in the marketplace.	Dec-4 \$2950	M	3 Days Intermediate

FINANCIAL MODELING

Using Excel™ to Solve Financial Problems	Explore the capabilities of this powerful platform.	Sep-13 \$1,350	M	1 Day Introductory
Financial Modeling for Corporate Finance	Gain a range of modeling skills; from basic functions to complex operations including lookups, indexing and valuation approaches.	Nov-7 \$1375	M	6 Evenings Intermediate
Practical Modeling Techniques for Project Finance	Learn how to use financial modeling to analyze the financial and economic viability of any project in this hands-on computer based course.	Dec-14 \$2150	M	2 Days Introductory/ Intermediate

FIXED INCOME

Fixed Income Suite	The essential introduction to fixed income markets – includes Fixed Income Markets I & II, Bond Mathematics and Yield Curve Analysis.	Sep-11 \$4350	D	5 Days Introductory/ Intermediate
Fixed Income Markets I	Gain a comprehensive overview of the fixed income market including basic bond terminology, bond fundamentals and yield calculations.	Sep-11 \$1350	D	1 Day Introductory
Fixed Income Markets II	Building on Fixed Income Markets I, continue the overview of fixed income and focus on the individual markets.	Sep-12 \$1,475	M	8 Evenings Intermediate
Bond Mathematics	Explore the mathematics aspects of fixed income securities.	Sep-12 \$1350	D	1 Day Intermediate

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Fixed Income Markets II	Examine the evolving products, changing marketplace and growing sophistication of the fixed income market.	Sep-13 \$2150	D	2 Days Intermediate
Yield Curve Analysis	Learn about the construction, mathematics and analysis of the yield curve.	Sep-15 \$1350	D	1 Day Intermediate
Fixed Income Markets I	Gain a comprehensive overview of the fixed income market including basic bond terminology, bond fundamentals and yield calculations.	Sep-21 \$1475	M	8 Evenings Introductory
Timing the Market: A Yield Curve Approach	Fuse information from the fixed income markets with technical analysis in this hands-on computer based class.	Sep-22 \$1,350	M	1 Day Introductory
Mortgage Backed Securities	Focus on the types of MBS, factors that influence their investment characteristics, and analytic techniques used to evaluate risk and return.	Oct-3 \$1350	M	1 Day Intermediate
Fixed Income Suite	The essential introduction to fixed income markets – includes Fixed Income Markets I & II, Bond Mathematics and Yield Curve Analysis.	Oct-23 \$4350	M	5 Days Introductory/ Intermediate
Fixed Income Markets I	Gain a comprehensive overview of the fixed income market including basic bond terminology, bond fundamentals and yield calculations.	Oct-23 \$1350	M	1 Day Introductory
Bond Mathematics	Explore the mathematics aspects of fixed income securities.	Oct-24 \$1350	M	1 Day Intermediate
Fixed Income Mathematics	Analyze a variety of quantitative analytical methodologies and the mathematics of prices & yields.	Oct-24 \$1475	M	8 Evenings Intermediate
Fixed Income Markets II	Examine the evolving products, changing marketplace and growing sophistication of the fixed income market.	Oct-25 \$2150	M	2 Days Intermediate
Yield Curve Analysis	Learn about the construction, mathematics and analysis of the yield curve.	Oct-27 \$1350	M	1 Day Intermediate
Fixed Income Markets I	Gain a comprehensive overview of the fixed income market including basic bond terminology, bond fundamentals and yield calculations.	Oct-18 \$1475	D	8 Evenings Introductory
Mortgage Backed Securities	Focus on the types of MBS, factors that influence their investment characteristics, and analytic techniques used to evaluate risk and return.	Nov-13 \$1375	M	6 Evenings Intermediate
Fixed Income Mathematics	Analyze a variety of quantitative analytical methodologies and the mathematics of prices & yields.	Nov-15 \$2150	M	2 Days Intermediate
Mortgage Backed Securities	Focus on the types of MBS, factors that influence their investment characteristics, and analytic techniques used to evaluate risk and return.	Nov-20 \$1350	D	1 Day Intermediate
High Yield Debt: Valuation & Risk Assessment	In this hands-on class, examine various types of High Yield Bonds with an eye to determining their theoretical value.	Nov-28 \$1275	M	4 Evenings Introductory/ Intermediate
Convertible Bonds	Learn about convertible bonds, the role they play in the fixed income markets, and basic & alternative bond structures.	Dec-11 \$2150	D	2 Days Introductory
Fixed Income Markets I	Gain a comprehensive overview of the fixed income market including basic bond terminology, bond fundamentals and yield calculations.	Dec-11 \$1350	D	1 Day Introductory
Fixed Income Mathematics	Analyze a variety of quantitative analytical methodologies and the mathematics of prices & yields.	Dec-18 \$2150	D	2 Days Intermediate

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INVESTMENT & PORTFOLIO MANAGEMENT			
Mutual Funds	Gain an understanding of mutual funds, including the uses of open-end and closed-end funds, and how best to select funds that fit an investor's needs.	Sep-20 \$2150	D 2 Days Introductory/ Intermediate
Portfolio Management Suite	Explore asset allocation, performance measurement risk control, corporate governance and quantitative techniques.	Oct-4 \$6650	M 8 Days Introductory/ Intermediate
Fixed Income Portfolio Management	Discover how the principles of diversification and risk control are applied by fixed income money managers in constructing institutional portfolios.	Oct-4 \$2950	M 3 Days Introductory
Equity Portfolio Management	From dividend discount models to corporate governance, focus on stock selection for the long term.	Oct-9 \$2950	M 3 Days Intermediate
Introduction to Emerging Markets	Learn how American companies, equity funds and commercial lenders should evaluate potential investment opportunities in the emerging markets.	Oct-10 \$1350	D 1 Day Introductory
Portfolio Management - Theory & Practice	Learn the pragmatic approach to applying academic theory to real world investment decisions.	Oct-12 \$2150	M 2 Days Intermediate
Hedge Funds	A comprehensive overview of one of the fastest growing sectors of the asset management industry.	Oct-25 \$1350	M 1 Day Intermediate
Fund Selection	Explore the various challenges in determining fund value-added performance for client investment objectives.	Nov-1 \$2150	M 2 Days Intermediate
Introduction to Alternative Investments	An introductory look at alternative investments for the individual investor; Real Estate, Hedge Funds, and Commodities.	Nov-14 \$1275	D 4 Evenings Introductory / Intermediate
Essentials of Portfolio Management	Explore the art and science of investment management and look at the decision making process from the professional's point of view.	Nov-29 \$2950	M 3 Days Introductory
Investing in Emerging Markets	Explore the gains and obstacles of emerging market inclusion in a portfolio as an asset class of its own.	Nov-30 \$2150	M 2 Days Introductory
Securities Analysis	Learn how to apply fundamental analysis to stocks and fixed income securities.	Dec-5 \$2150	D 2 Days Introductory
Fundamentals of Investment Management	Focus on the nature of the investment management business; the types of clients served, which kinds of investments are managed and making investment decisions.	Dec-6 \$1350	D 1 Day Intermediate
Introduction to Alternative Investments	An introductory look at alternative investments for the individual investor; Real Estate, Hedge Funds, and Commodities.	Dec-11 \$2150	M 2 Days Introductory/ Intermediate
Hedge Funds	A comprehensive overview of one of the fastest growing sectors of the asset management industry.	Dec-12 \$1350	D 1 Day Intermediate
Wealth Management	Learn how to develop an investor profile and implement a wealth management strategy.	Dec-14 \$2150	M 2 Days Introductory

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“The instructor taught us how to apply subject matter in actual situations.”

“The class was structured really well and hit on all points of a useful introduction to Securities Analysis. This class was great!”

Student Feedback:
Securities Analysis

MERGERS & ACQUISITIONS

Mergers & Acquisitions Suite	Learn the concepts and theories of mergers and acquisitions as well as the structuring of a deal.	Nov-13 \$4350	M	5 Days Intermediate
Mergers and Acquisitions: Concepts and Theories	A comprehensive overview of the major facets of the industry and the skills engaged in executing transactions.	Nov-13 \$2150	M	2 Days Introductory
Accounting for Mergers & Acquisitions	Focus on the application of financial accounting and federal income tax principles for projecting earnings, accretion/dilution and cash flows of the post-combination entity.	Nov-15 \$1350	M	1 Day Intermediate
Mergers and Acquisitions: Structuring the Deal	Examine the key components of M&A transactions in this practical hands-on workshop.	Nov-16 \$1350	M	1 Day Intermediate
Free Cash Flow: A Powerful Decision-Making Metric	Learn how to use Free Cash Flow as a business management tool to create value for shareholders.	Nov-17 \$1350	M	1 Day Intermediate

OPERATIONS AND COMPLIANCE

Brokerage Operations	Understand which processes are brought into play after a trade occurs at a brokerage firm and learn how a full service broker-dealer operates.	Sep-11 \$1575	D	10 Evenings Introductory
Compliance for the Securities Industry	Gain a thorough understanding of the regulatory bodies and regulations under which the securities industry operates in the United States.	Sep-14 \$1575	D	10 Evenings Introductory
Overview of Global Securities Services	Learn about global security trade flow and the participants involved in executing, booking, clearing and settling a global transaction.	Oct-4 \$1350	D	1 Day Introductory
Customer Protection Rule 15c3-3	Examine the Customer Protection Rule, its possession and control requirements, and the departments involved in compliance with such requirements.	Oct-19 \$1350	D	1 Day Intermediate
Anti-Money Laundering	Examine AML with due diligence in respect to knowing your customer accurately and authentically and knowing their usual business and associates.	Oct-26 \$1350	M	1 Day Introductory
Basel II Credit Risk	Basel II is a requirement for many banks. Gain a thorough grounding in the credit, market and operational risk requirements.	Nov-1 \$2950	M	3 Days Introductory/ Intermediate
Brokerage Operations	Understand which processes are brought into play after a trade occurs at a brokerage firm and learn how a full service broker-dealer operates.	Nov-6 \$2150	M	2 Days Introductory
Global Securities Services Suite	Explore the processing of securities in the global markets. Includes Trading and Settlements, and Custody.	Nov-8 \$2950	M	3 Days Introductory
Global Securities: Trading and Settlements	Gain an overview of the global security trade flow and its participants with a detailed examination of trading and settlement.	Nov-8 \$2150	M	2 Days Introductory
Best Execution and Transaction Cost Analysis	Gain a grounding in the fundamentals of Best Execution statistics.	Nov-9 \$2150	D	2 Days Intermediate
Global Securities: Custody	Examine the services provided by custodians and look at the basics of corporate actions.	Nov-10 \$1350	M	1 Day Introductory
Compliance for the Securities Industry	Gain a thorough understanding of the regulatory bodies and regulations under which the securities industry operates in the United States.	Nov-13 \$2150	D	2 Days Introductory
Corporate Treasury Management	Achieve a practical grounding in treasury management tools and techniques.	Nov-28 \$3750	D	4 Days Intermediate
Debt Instrument Transaction Processing	Through interactive process exercises and leader-led discussions, learn about all the elements that comprise transaction processing.	Dec-4 \$2150	D	2 Days Introductory

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PROFESSIONAL COMMUNICATION SKILLS			
Business Writing Skills	Learn how to write emails, client pitches, credit reviews and presentations to senior management using the correct professional tone.	Oct-11 \$1350	M 1 Day Introductory
PROJECT FINANCE			
Project Finance Suite	Discover the practical tools and techniques for project finance valuation, analysis and modeling.	Dec-11 \$4350	M 5 Days Introductory/ Intermediate
Essentials of Project Finance	Use case studies to understand the risk-return character of limited recourse projects from multiple perspectives.	Dec-11 \$2950	M 3 Days Introductory
Practical Modeling Techniques for Project Finance	Learn how to use financial modeling to analyze the financial and economic viability of any project in this hands-on computer based course.	Dec-14 \$2150	M 2 Days Introductory/ Intermediate
RISK MANAGEMENT AND DERIVATIVES			
Fundamentals of Derivatives	Explore derivative products and the application of derivative tools in financial engineering and risk management.	Sep-19 \$1,575	M 10 Evenings Introductory
Options Markets	Examine market structure, trading strategies, and the features of listed calls and puts, and discuss the management of an options portfolio.	Oct-5 \$1575	M 10 Evenings Introductory
Value at Risk	Establish the building blocks of risk management; risk, return normal distribution, correlation and volatility.	Oct-11 \$1350	M 1 Day Introductory/ Intermediate
Asset Liability Management	Focus on the traditional methods of identifying, measuring and managing risk in addition to exploring up-to-date developments.	Oct-12 \$2150	M 2 Days Intermediate
Credit Default Swaps: From Vanilla to Exotic	Explore the valuation approaches for vanilla and exotic credit derivative products and learn about the mechanics of each product.	Oct-12 \$1375	M 6 Evenings Intermediate
Derivatives Suite	Develop an expertise in one of the most rapidly growing areas of international finance. Includes Forwards & Futures, Options Markets I & II and Swaps I & II.	Oct-16 \$4350	M 5 Days Introductory/ Intermediate
Forwards & Futures	Gain a broad perspective of both futures and forwards markets, and understand the important role they play in the world economy.	Oct-16 \$1350	M 1 Day Introductory
Options Markets I	Learn the basic terminology, products, strategies, and techniques that spell success in the options market regardless of market environment.	Oct-17 \$1350	M 1 Day Introductory
Options Markets II	Delve deeper into strategy using case studies and market scenarios. Explore the Greeks, manage an options book and review profit and loss implications.	Oct-18 \$1350	M 1 Day Intermediate
Swaps I	Cover the basic structure of swaps, their characteristics, features, risk and pricing.	Oct-19 \$1350	M 1 Day Introductory
Swaps II	Develop an expertise in critical areas such as structure, pricing and documentation.	Oct-20 \$1350	M 1 Day Intermediate
Measuring & Managing Operational Risk: Basel II Compliance	Gain the tools to develop effective internal control mechanisms and reduce and avoid enterprise wide operational risk management.	Oct-23 \$2950	M 3 Days Intermediate
Derivative Mathematics	Explore the mathematical formulas for valuing and analyzing listed and over-the-counter derivatives contracts.	Oct-25 \$1475	M 8 Evenings Intermediate

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Equity Derivatives	Learn the basics of trading in the over-the-counter and listed equity markets.	Oct-26 \$2150	M	2 Days Intermediate
Risk Management Suite	Survey the broad area of financial risk management and learn how to measure and manage different types of risk.	Oct-30 \$4350	M	5 Days Introductory/ Intermediate
Introduction to Financial Risk Management	Examine the evolution of Financial Risk, the need for risk management and learn about the various types of risk and risk management instruments.	Oct-30 \$1350	M	1 Day Introductory
Risk Management for the Debt & Equity Markets	Learn to measure and manage financial risk, including interest rate and yield curve risk.	Oct-31 \$2150	M	2 Days Introductory/ Intermediate
Options: Strategy & Pricing Theory	Explore the markets, products and strategies that allow participants in the options markets to succeed in both bullish and bearish markets.	Nov-1 \$2150	D	2 Days Introductory
Risk Management of Derivatives	Learn to manage the risk of derivatives. Examine the Greeks, Delta and Gamma, and other derivative instruments as well as the use of credit derivatives.	Nov-2 \$2150	M	2 Days Intermediate
Accounting for Derivatives	Learn to recognize which characteristics of derivatives affect their accounting implications.	Nov-6 \$2150	D	2 Days Intermediate
Credit Derivatives	Examine the mechanics of the 'standard' credit derivative transaction, the most common variations of structured credit products and the mechanics of pricing and hedging.	Nov-6 \$2150	M	2 Days Intermediate
Demystifying Derivatives	Take the mystery out of the products which make up the world of derivatives and learn who uses derivatives products and why.	Nov-15 \$1350	D	1 Day Introductory
Introduction to Operational Risk	Identify the challenges of measuring and managing operational risk and explore the benefits of ORM as a component of enterprise risk management.	Nov-20 \$1350	D	1 Day Introductory
Performance Measurement	Explore the fundamental concepts underlying Performance Measurement including an introduction to essential statistical concepts.	Nov-21 \$1350	M	1 Day Introductory
Derivative Mathematics	Explore the mathematical formulas for valuing and analyzing listed and over-the-counter derivatives contracts.	Dec-4 \$2150	M	2 Days Intermediate
Derivative Products I & II	Gain an in-depth knowledge of Derivative Products in this comprehensive overview.	Dec-6 \$2150	M	2 Days Introductory
Derivative Products I	Explore the fundamental characteristics, features and applications of derivative products.	Dec-6 \$1350	M	1 Day Introductory
Derivative Products II	Delve deep into the world of derivative products using computer software designed specifically for capital markets and derivatives training.	Dec-7 \$1350	M	1 Day Intermediate

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“Great professor, practical examples, lively discussion”

Student Feedback
Risk Management Suite

“Full, clear & concise”

Student Feedback:
Introduction to Financial Risk Management

STRUCTURED PRODUCTS

Overview of Structured Products	Gain an overview of structured products from the point of view of both the issuer and the investor.	Oct-10 \$2150	D	2 Days Introductory
Structured Products Suite	Discover the concepts & theories and risks & regulations of the different asset types and market sectors.	Nov-8 \$2950	M	3 Days Intermediate
Structured Products	Gain a clear understanding of the key legal and financial risks in CDO, and gain confidence in working with the main metrics and concepts of value.	Nov-8 \$2150	M	2 Days Intermediate
Effective Pricing and Valuation of CDO's	Get an in-depth insight into CDOs, the fastest growing sector in the asset-backed securities market.	Nov-10 \$1350	M	1 Day Intermediate

TECHNICAL ANALYSIS

Advanced Technical Analysis Workshop	Explore the major chart patterns and using various technical tools with emphasis on turning points, continuation patterns, and important market decision points to reach Buy/Sell decisions.	Oct-9 \$1275	M	4 Evenings Advanced
Technical Analysis with Ralph Acampora	Join Technical Analysis guru Ralph Acampora and develop a foundation in each type of tool and the various technical theories.	Oct-16 \$1575	D	10 Evenings Introductory
Fusion Analysis	Review some of the basic tools of fundamental and technical analysis.	Nov-6 \$1275	D	4 Evenings Advanced
Technical Analysis	Focus on both traditional technical tools such as chart patterns, momentum, sentiment and volume along with more exotic approaches.	Nov-13 \$2150	M	2 Days Introductory

TRADING

Foreign Exchange Marketplace	Learn what makes the foreign exchange markets tick and how traders and analysts make their decisions.	Sep-14 \$1375	D	6 Evenings Introductory
Bond Trading	Examine today's fixed income markets with a focus on trading and market tactics. Use trade simulations to look at optimal trading strategies.	Oct-4 \$1375	D	6 Evenings Intermediate
Introduction to Indexes	Learn about the construction and design of indexes; investment products and derivative products and the future of indexing for capital markets.	Oct-10 \$1275	D	4 Evenings Introductory/ Intermediate
Options Volatility Trading	This 'real-time' options trading class deals with functioning as a Market Maker/Specialist, but will also cover options as a means of expressing market opinions.	Oct-12 \$1475	M	8 Evenings Advanced
Equities Trading	Learn the basics of trading in the over-the-counter and listed equity markets.	Oct-31 \$1475	M	8 Evenings Intermediate
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