



Margin Requirements for Day-Trading and Bonds - Online

This course covers margin accounts and requirements, and also explains the rules and regulations that govern trading equities and bonds on margin. Topics covered include liquidations, withdrawals, substitutions, and SMA accounts. The course is intended for margin, compliance, and credit control personnel, as well as operations professionals who interface with margin/credit functions.

This course replicates the content from lesson 4 of Margin I: Intro to Margin Regulations - Online

This is an asynchronous eLearning course that can be accessed 24/7 from any internet enabled computer. Subscription period for this course is 90 days.

Available Session(s):

Available Today

Online

USD \$60.00

Online

Instructor(s):[]

Advance Preparation

No advance preparation required.

Learning Objectives

Students will be able to:

- Explain how day-trading is handled in margin accounts
- Identify the types of bonds that are marginable
- State the requirements for marginable bonds
- Describe how accounts become restricted

Level: Basic

CPE Credits: 1

Instructional Method: Self-Study

Detailed Outline

Margin Requirements for Day-Trading and Bonds

- Day-trading requirements and margin calculations
- Margin requirements for bonds (convertibles, exempt securities, and non-convertibles)
- Restricted accounts

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