



Back Office: The Cashier's Department - Online

This module provides an overview of the function and responsibilities of the cashier's department of a brokerage firm.

This course replicates the content from module 5 of Brokerage Operations - Online

This is an asynchronous eLearning course that can be accessed 24/7 from any internet enabled computer. Subscription period for this course is 90 days.

Targeted Audience

Professionals new to the securities industry.

Advance Preparation

No advance preparation required.

Learning Objectives

Students will be able to:

- . Recognize how delivery of securities is conducted.
- . Identify how settlement is performed using trade-for-trade and netted balance systems.
- . Recognize how firms meet funding requirements through bank loans, stock lending, and repurchase agreements.

Follow-Up Courses

Back Office: The Order Room - Online

Back Office: Purchase and Sales - Online

Back Office: The Margin Department - Online

Current Trends in Brokerage Operations - Online

New Regulations in Brokerage Operations - Online

Level: Basic

CPE Credits: 1

Instructional Method: Self-Study

Detailed Outline

Back Office: The Cashier's Department

- . Settlement
- . Trade for Trade
- . Netted Balance Systems
- . Funding requirements

Duration: 1 hour

For more information regarding administrative policies such as complaints and refunds, please contact our offices at 212-641-6616.