



Regulation in the UK Financial Services Markets - Online

Introducing the City takes participants behind the elegant facades of the great financial institutions to reveal the City of London as a major center of global banking and finance. This module explores the role of the regulatory environment surrounding the financial markets, including the new European Union regulations.

This course replicates the content from lesson 10 of the course Introducing the City - Online

This is an asynchronous eLearning course that can be accessed 24/7 from any internet enabled computer.

Available Session(s):

Available Today

Online

USD \$60.00

Online

Instructor(s):[]

Targeted Audience

Newcomers to the securities industry, traders, salespeople, back office professionals, staff assistants, compliance staff, auditors and IT professionals.

Advance Preparation

No advance preparation required.

Learning Objectives

Students will be able to:

- Identify the main types of financial regulations in the UK and their purposes
- Explain the role of the European Union in initiating EU-wide standards
- Recognise the need for greater international regulatory cooperation and the role of the Basle Accord in international bank supervision

Level: Basic

CPE Credits: 1

Instructional Method: Self-Study

Detailed Outline

Regulation in the UK Financial Services Markets

- Why regulation is critical to the financial markets
- History and evolution of regulation in the city
- European Union regulatory developments
- Need for regulation at an international level

For more information regarding administrative policies such as complaints and refunds, please contact our offices at 212-641-6616.