



Trading Securities in the Secondary Markets - Online

This online version of Fundamentals of the Securities Industry provides a broad perspective of the workings of Wall Street and the securities industry. It focuses on the products, markets, vocabulary, and players, the primary and secondary markets for securities including investment banking, the stock exchanges and over-the-counter transactions, margin, settling, clearing, and industry regulations. Essential for newcomers to the securities industry, this course is also helpful to industry employees facing increased responsibilities.

This is the same content as module 4 of the online course 'Fundamentals of the Securities industry'

This is an asynchronous eLearning course that can be accessed 24/7 from any internet enabled computer.

Available Session(s):

Available Today

Online

USD \$120.00

Online

Instructor(s):[]

Targeted Audience

Newcomers to the securities industry, traders, salespeople, back office professionals, staff assistants, compliance staff, auditors and IT professionals.

Advance Preparation

No advance preparation required.

Learning Objectives

Students will be able to:

- Understand the difference between exchange and OTC markets.
- Identify the types of orders available to market participants.
- Understand the complex investment strategies.

Level: Basic

CPE Credits: 2

Instructional Method: Self-Study

Detailed Outline

Trading Securities in the Secondary Markets

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