



Introduction to Equity Securities - Online

This online version of Fundamentals of the Securities Industry provides a broad perspective of the workings of Wall Street and the securities industry. It focuses on the products, markets, vocabulary, and players, the primary and secondary markets for securities including investment banking, the stock exchanges and over-the-counter transactions, margin, settling, clearing, and industry regulations. Essential for newcomers to the securities industry, this course is also helpful to industry employees facing increased responsibilities.

This is the same content as Module 2 of the online course 'Fundamentals of the Securities Industry'

This is an asynchronous eLearning course that can be accessed 24/7 from any internet enabled computer.

Available Session(s):

Available Today

Online

USD \$120.00

Online

Instructor(s):[]

Targeted Audience

Newcomers to the securities industry, traders, salespeople, back office professionals, staff assistants, compliance staff, auditors and IT professionals.

Advance Preparation

No advance preparation required.

Learning Objectives

Students will be able to:

- Identify the basic characteristics of common stock.
- Read and comprehend stock quotations.
- Identify the characteristics and types of preferred stock.
- Identify various Equity-linked products.

Level: Basic

CPE Credits: 2

Instructional Method: Self-Study

Detailed Outline

Introduction to Equity Securities

- Identify the basic characteristics of common stock.
- Read and comprehend stock quotations.
- Identify the characteristics and types of preferred stock.
- Identify various Equity-linked products.

For more information regarding administrative policies such as complaints and refunds, please contact our offices at 212-641-6616.